

# Computing Information Minimal Match Explanations for Logic-based Matchmaking

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**Abstract**—In semantic matchmaking processes it is often useful, when the obtained match is not full, to provide explanations for the mismatch, to leverage further interaction and/or modifying the request. To this aim, Abduction in Description Logics has been studied, though —till now— on rather inexpressive languages. In this paper we present a new method for computing Abduction over complex concept descriptions in the expressive Description Logic  $\mathcal{SH}$ . Our proposal divides the abduced concept in pieces, which allow for direct and concise explanations. The approach exploits information within a prefixed tableau to compute solutions that take into account the structure of a formula. Hypotheses are pieces of a formula to be added inside the quantifiers of a complex concept description and not just added as outermost conjunctions. we propose suitable definitions of the problem, algorithms and calculus. we also give some hints on how to fruitfully use the proposed technique to provide rankings in the matchmaking process.

## I. INTRODUCTION

Semantic Matchmaking [1], [2], [3] is basically the problem of finding, given a request, best available offers, under the assumption that both the request and offers are modeled in accordance with a common ontology. To evaluate how good offers are with respect to a demand obviously some criteria are needed. Having requests and offers modeled in accordance with an ontology  $\mathcal{T}$  a coarse classification can be obtained using classical deductive services, namely subsumption and satisfiability, i.e. Exact: the request is semantically equivalent to the supply; Full - Subsumption: The information within the offer semantically implies the ones within the request; Plug-In: The information within the request semantically imply the ones within the offer; Potential - Intersection: the information within the offer are semantically compatible with the ones in the request; Partial - Disjoint: the information within the offer are semantically incompatible with the ones in the request [1], [2], [3]. But while exact and full matches can be rare (and basically equivalent), a user may get several potential and partial matches. A semantic-based matchmaker should then provide a “semantic” ranking of available offers vs. the request, yet unfortunately classification and satisfiability only return a boolean answer. Furthermore potential matches may correspond to underspecified descriptions and under an open world assumption we should not immediately discard them, especially if nothing better exists, but one may wonder what should be hypothesized to obtain a full match. In order to provide an ordering with respect to a request several approaches

have been proposed in recent years, including *e.g.*, approaches based on mixed semantic/information retrieval techniques [4], fuzzy Description Logics [5], conditional preferences [6]. A further possibility is to exploit abductive reasoning [7]. The usefulness and possibilities of abductive reasoning in the wide arena of Semantic web have been clearly illustrated by Elsenbroich, Kutz and Sattler [8], nevertheless approaches based on abductive reasoning have been so far confined to ABox abduction [9], [8] and Concept Abduction [10], [11], devised by some of the authors of this paper for matchmaking in e-commerce with reference to  $\mathcal{ALN}$  Description Logic (DL). This nonmonotonic service has proved useful in different scenarios where a ranking and logic-based explanation where needed [12], [13], yet its definition showed its limits for expressive logics endowed with existential quantification. Here we overcome such limits by introducing Structural Abduction in the expressive  $\mathcal{SH}$  DL and present tableau-based calculus to compute solutions to Structural Abduction problems. Furthermore, we outline ranking functions useful to provide a principled ranking in a semantic matchmaking process using expressive DLs. The computation of Propositional Abduction has been extensively studied, for nearly all criteria that can be adopted for choosing the preferred hypotheses [14]. Also Abductive Logic Programming is well established [15], and its computation resorts on a modification of SLD-resolution. Yet, when the representation language chosen for the application domain is a Description Logic (DL), the picture is far from being complete. Since concepts in a DL are in fact monadic predicates, the definition of Abduction should be extended from a propositional to a first-order setting. A possible definition has been proposed by Di Noia et al. [11]: given concepts  $C$  (prior facts),  $D$  (target), and a TBox  $\mathcal{T}$  (background knowledge), a Concept Abduction Problem is finding another concept  $H$  such that both (i) the conjunction of  $C$  and  $H$ —denoted in DL by  $C \sqcap H$ —is satisfiable in  $\mathcal{T}$ , and (ii) in all models of  $\mathcal{T}$ ,  $C \sqcap H$  is subsumed by  $D$  (denoted by  $\mathcal{T} \models C \sqcap H \sqsubseteq D$ ). Also a tableaux-based calculus for computing Concept Abduction in the DL  $\mathcal{ALN}$  was devised [16]. However, although  $\mathcal{ALN}$  is computationally simple, it is a rather inexpressive DL. Our proposal extends the framework of Concept Matching [17]. In a nutshell, we identify places in the description of  $C$  where a hypothesis can be added, and name such places with (all distinct) concept variables  $H_0, H_1, H_2, \dots$ . We denote  $C^h$  the resulting concept. Then

a solution to our Abduction Problem is a substitution  $\sigma$  of concept variables with concepts, such that  $\mathcal{T} \models \sigma(C^h) \sqsubseteq D$ . Note that in Concept Matching the concept with variables is  $D$ , and a solution is a substitution  $\sigma$  such that  $\mathcal{T} \models C \sqsubseteq \sigma(D)$ . The substitution  $\sigma$  is fundamental to provide a user with an explanation, in a semantic matchmaking setting, when there is the need to *e.g.*, justify reasons for a not-full match. The remaining of this paper is structured as follows. In the next section, we lay out some definitions and other preliminary notions. Then, in Section III we propose a calculus based on tableaux for finding substitutions, and in Section IV we propose some strategies for finding “good” substitutions. In the last two sections, we compare our proposal with existing literature, and outline future research directions.

## II. STRUCTURAL ABDUCTION IN $\mathcal{SH}$

We assume the reader be familiar with DLs, and refer to textbooks [18] for a thorough introduction to DLs, TBoxes, satisfiability, subsumption (denoted by  $\sqsubseteq$ ) and subsumption w.r.t. a TBox  $\mathcal{T}$ . By *strict subsumption*  $C \sqsubset D$  we mean  $C \sqsubseteq D$  and  $D \not\sqsubseteq C$ .

Here we deal only with a simple form of concept axioms in the TBox, where only concept names can appear on the left-hand side of concept inclusions (denoted by  $A \sqsubseteq C$ ), and each concept name appears at most once on the left-hand side of a definition (denoted by  $A \doteq C$ ). Moreover, we admit only *acyclic* TBoxes, in the following sense. Let *depends* be a binary relation on concept names, defined as:  $A$  depends on  $B$  iff both  $B$  occurs in a concept description  $C$  and  $A \sqsubseteq C \in \mathcal{T}$ . Then  $\mathcal{T}$  is *cyclic* if the transitive closure of *depends* contains a pair  $(A, A)$  for some concept name  $A$ ; otherwise,  $\mathcal{T}$  is *acyclic*.

We denote by  $\mathcal{R}$  a set of *role axioms* of the form  $R \sqsubseteq S$  (role inclusion) or  $\text{Trans}(R)$  (role  $R$  is transitive), with  $R$  and  $S$  being role names. It is also useful to denote by  $\sqsubseteq^*$  the transitive closure of role inclusion. For the sake of conciseness we assume the RBox as part of the TBox:  $\mathcal{R} \subseteq \mathcal{T}$ . In Table I formal syntax and semantics of  $\mathcal{SH}$  are presented.

We assume that concepts are always in Negation Normal Form (NNF) [18, Ch.2], where negation in front of a concept is always “pushed” inside connectives and quantifiers, recursively, till negation is in front of concept names only. In the following, we augment concept expressions by means of *concept variables*. We call *concept term* [19] every concept expression formed by using the syntax above, plus the ability of using a concept variable  $h \in \{h_0, h_1, h_2, \dots\}$ , in place of a concept name. To extend the semantics of  $\mathcal{SH}$  to concept terms, we let  $\sigma$  be an assignment  $\sigma : h \mapsto C \in \mathcal{SH}$  that interprets concept variables as concepts in  $\mathcal{SH}$ , and we define the semantics of  $h$  as  $(\sigma(h))^{\mathcal{I}}$ .

### A. Abduction inside quantifications in $\mathcal{SH}$

We recall previous definitions [11] about Concept Abduction in  $\mathcal{ALN}$ .

**Definition 1** (Concept Abduction). *Let  $C, D$ , be two concepts in  $\mathcal{ALN}$ , and  $\mathcal{T}$  be a set of axioms in  $\mathcal{ALN}$ , where both  $C$  and  $D$  are satisfiable in  $\mathcal{T}$ . A Concept Abduction Problem (CAP),*

Syntax	Semantics
$A$	$A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
$R$	$R^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$
Concept Constructors	
$C \sqcap D$	$(C \sqcap D)^{\mathcal{I}} = C^{\mathcal{I}} \cap D^{\mathcal{I}}$
$C \sqcup D$	$(C \sqcup D)^{\mathcal{I}} = C^{\mathcal{I}} \cup D^{\mathcal{I}}$
$\neg C$	$(\neg C)^{\mathcal{I}} = \Delta^{\mathcal{I}} \setminus C^{\mathcal{I}}$
$\exists R.C$	$(\exists R.C)^{\mathcal{I}} = \{x \mid \exists y. \langle x, y \rangle \in R^{\mathcal{I}} \wedge y \in C^{\mathcal{I}}\}$
$\forall R.C$	$(\forall R.C)^{\mathcal{I}} = \{x \mid \forall y. \langle x, y \rangle \in R^{\mathcal{I}} \rightarrow y \in C^{\mathcal{I}}\}$
Concept Axioms – TBox	
$A \sqsubseteq C$	$(A \sqsubseteq C)^{\mathcal{I}} = A^{\mathcal{I}} \subseteq C^{\mathcal{I}}$
$A \doteq C$	$(A \doteq C)^{\mathcal{I}} = (A^{\mathcal{I}} = C^{\mathcal{I}})$
Role Axioms – RBox	
$R \sqsubseteq S$	$(R \sqsubseteq S)^{\mathcal{I}} = R^{\mathcal{I}} \subseteq S^{\mathcal{I}}$
$\text{Trans}(R)$	$R^{\mathcal{I}} = (R^{\mathcal{I}})^+$

TABLE I  
 $\mathcal{SH}$  WITH ACYCLIC TBOXES SYNTAX AND SEMANTICS.

denoted as  $\langle C, D, \mathcal{T}, \mathcal{L} \rangle^C$ , is finding a concept  $H \in \mathcal{ALN}$  such that  $\mathcal{T} \not\models C \sqcap H \equiv \perp$ , and  $\mathcal{T} \models C \sqcap H \sqsubseteq D$ .

While adequate for Description Logics as  $\mathcal{ALN}$ , Def. 1 shows its limits when dealing with languages where qualified existential quantification is allowed. This is the case of  $\mathcal{ALC}$  and, more generally, of  $\mathcal{ALC}$  and  $\mathcal{SH}$ . We explain the need to generalize Def. 1 with the aid of a simple example.

**Example 1.** *Let  $W_1, W_2$  be two web services,  $W_1$  having two payment methods: a Credit-card one and a non-https-based one ( $W_1 = \exists \text{howPay}.CC \sqcap \exists \text{howPay}.\neg \text{https}$ ), and  $W_2$  for which it is only known that it has some payment method ( $\exists \text{howPay}.\top$ ). Suppose a user has to choose which service best satisfies her demand  $D = \exists \text{howPay}.(CC \sqcap \text{https})$ . Only for sake of simplicity, let the TBox be empty. Obviously, both  $W_1 \not\sqsubseteq D$  and  $W_2 \not\sqsubseteq D$ —i.e., neither service completely fulfills  $D$ —so the problem arises as to whether there is some logic-based method to compare them. Some researchers [11], [13] propose to automate the choice by solving two (kind of) abduction problems, and compare the results. Namely, they propose to compare some hypotheses  $H_1$  and  $H_2$  that, when added to  $W_1$  and  $W_2$  respectively, would make each one of them be subsumed by  $D$ . Then, an offer with a more generic  $H$  should be preferred over one with a more specific  $H$ . Following this criterion, an offer  $W$  for which  $H = \top$  is the “best” choice, since in this case already  $W \sqsubseteq D$ .*

Following Def. 1, we would have to find two concepts  $H_1, H_2$  such that  $W_i \sqcap H_i \sqsubseteq D$  ( $i = 1, 2$ ) and in this case it can be verified that both problems admit one subsumption-maximal solution, namely  $H_1 = H_2 = \exists \text{howPay}.(CC \sqcap \text{https}) = D$ . Observe that  $H_{1,2}$  is trivially hypothesizing the whole target conclusion, disregarding the fact that the conjunct  $\exists \text{howPay}.CC$  in  $W_1$  already “covers” part of  $D$ . That is, Def. 1 cannot be used to prefer an offer that already partly covers the demand ( $W_1$ ) over another ( $W_2$ ) that does not.

We remark that also Cialdea&Pirri’s Propositional Modal Abduction [20] would compute  $\diamond(CC \wedge \text{https})$  (with  $\diamond$  the

modality associated with  $\text{howPay}$ ) for both abduction problems. Hence, also their proposal cannot be used to highlight the missing information inside quantifications.  $\triangle$

The explanation computed in Ex.1 according to Def. 1 involves the whole existential restriction even though only a part of it would be necessary—in this case  $\text{https}$ . This is because Concept Abduction (and all definitions of Propositional Abduction) only adds hypotheses as outermost conjunctions. Instead, we would like solutions to take into account the structure of a formula; we would like to hypothesize pieces of a formula to be added inside the *quantifiers* of  $C$  and not just added as outermost conjunctions.

**Definition 2** (Abducible Concept – Hypotheses List). *Let  $C$  and  $D$  be two  $\mathcal{SH}$ -concepts in NNF, and let  $\mathcal{T}$  be a set of axioms in  $\mathcal{SH}$ . We define abducible concept  $C^h \doteq h_0 \sqcap \text{Rew}(C)$ , where the rewriting  $\text{Rew}(C)$  defined recursively as follows:*

$$\begin{aligned} \text{Rew}(A) &= A \\ \text{Rew}(\neg A) &= \neg A \\ \text{Rew}(C_1 \sqcap C_2) &= \text{Rew}(C_1) \sqcap \text{Rew}(C_2) \\ \text{Rew}(C_1 \sqcup C_2) &= \text{Rew}(C_1) \sqcup \text{Rew}(C_2) \\ \text{Rew}(\exists R.C) &= \exists R.(h_{\text{new}} \sqcap \text{Rew}(C)) \\ \text{Rew}(\forall R.C) &= \forall R.(h_{\text{new}} \sqcap \text{Rew}(C)) \end{aligned}$$

where by  $h_{\text{new}}$  we mean a concept variable not yet appearing in the rewriting<sup>1</sup>. We assume that concept variables are numbered progressively, and call hypotheses list of  $C^h$  the list  $\overline{\mathcal{H}} = \langle h_0, h_1, h_2, \dots \rangle$ .

Observe that since  $C$  is in NNF, a case for  $\text{Rew}(\neg C)$ , with  $C$  a generic concept, is not present in the definition of  $\text{Rew}()$ . Given an abducible concept  $C^h$ , its corresponding hypotheses list  $\overline{\mathcal{H}} = \langle h_0, \dots, h_\ell \rangle$  and a list  $\mathcal{C} = \langle C_0, \dots, C_\ell \rangle$  of  $\mathcal{ALEH}_{R+}$  concepts, we denote with  $\sigma[\overline{\mathcal{H}}/\mathcal{C}]$  the substitution  $\{h_0 \mapsto C_0, \dots, h_\ell \mapsto C_\ell\}$  and with  $\sigma_i[\overline{\mathcal{H}}/\mathcal{C}]$ , with  $i = 0, \dots, \ell$ , the substitution of the single variable  $h_i$ .

**Definition 3** (Structural Abduction). *Let  $C, D \in \mathcal{SH}$ , be two concepts in NNF, and  $\mathcal{T}$  be a set of axioms in  $\mathcal{SH}$ , where both  $C$  and  $D$  are satisfiable in  $\mathcal{T}$  and  $\mathcal{T} \not\models C \sqcap D \sqsubseteq \perp$ . Let  $\overline{\mathcal{H}} = \langle h_0, \dots, h_\ell \rangle$  be the hypotheses list of the abducible concept  $C^h$  and  $\overline{\mathcal{A}} = \langle A_0, \dots, A_\ell \rangle$  (for Assumptions) be a list of  $\mathcal{SH}$  concept sets. A Structural Abduction Problem (SAP) for  $\mathcal{SH}$ , denoted as  $\langle C, D, \mathcal{T}, \overline{\mathcal{A}} \rangle^S$ , is finding a list of concepts  $\mathcal{H} = \langle H_0, \dots, H_\ell \rangle$  in  $\mathcal{ALEH}_{R+}$  such that*

$$H_i \in A_i \text{ for every } i = 0, \dots, \ell \quad (1)$$

$$\mathcal{T} \not\models \sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h) \sqsubseteq \perp \quad (2)$$

$$\mathcal{T} \models \sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h) \sqsubseteq D \quad (3)$$

We call a SAP General when  $A_i = \mathcal{SH}$ , for every  $i = 0, \dots, \ell$ .

Observe that we impose the hypotheses in  $\overline{\mathcal{H}}$  to be in the DL  $\mathcal{ALEH}_{R+}$ , in which disjunction is not allowed, and negation is allowed only in front of concept names. This restriction is analogous to the one in Propositional Abduction—where the set of abduced hypotheses is always intended as a conjunction—and Abductive Logic Programming—where again the abduced

atoms are (implicitly) taken conjunctively. As noted by Marquis [21] for First-order Abduction, if disjunction and full negation are allowed in solutions of an Abduction problem, the most general hypothesis is always  $\neg C \sqcup D$ , which in fact solves nothing.

In what follows, we always refer to *General SAPs*.

**Example 2.** *Consider  $W_1$  and  $D$  as in Ex.1. According to Def. 2 and Def. 3 we have*

$$\begin{aligned} W_1^h &= h_0 \sqcap \exists \text{howPay}.(CC \sqcap h_1) \sqcap \\ &\quad \exists \text{howPay}.( \neg \text{https} \sqcap h_2) \\ \overline{\mathcal{H}} &= \langle h_0, h_1, h_2 \rangle \\ \mathcal{H} &= \langle \top, \text{https}, \top \rangle \\ \sigma_0[\overline{\mathcal{H}}/\mathcal{H}] &= \{h_0 \mapsto \top\} \\ \sigma_1[\overline{\mathcal{H}}/\mathcal{H}] &= \{h_1 \mapsto \text{https}\} \\ \sigma_2[\overline{\mathcal{H}}/\mathcal{H}] &= \{h_2 \mapsto \top\} \\ \sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h) &= \top \sqcap \exists \text{howPay}.(CC \sqcap \text{https}) \sqcap \\ &\quad \exists \text{howPay}.( \neg \text{https} \sqcap \top) \end{aligned}$$

Observe that the solution previously computed in Ex.1 is still a solution of the SAP, namely, it is the solution  $\mathcal{H}' = \langle \exists \text{howPay} . CC \sqcap \text{https}, \top, \top \rangle$ .  $\triangle$

Following [16] we use  $\mathcal{P}^S$  as a symbol for a SAP, and we denote with  $\text{SOLSAP}(\mathcal{P}^S)$  the set of all solutions to a SAP  $\mathcal{P}^S$ . Solutions can be compared according to two preference criteria, namely, component-wise subsumption, and subsumption in the abducible concept after substitution.

**Definition 4** (Preference and Maximality). *Let  $\mathcal{P}^S$  be a SAP and  $\mathcal{H}' = \langle C'_0, \dots, C'_\ell \rangle$  and  $\mathcal{H}'' = \langle C''_0, \dots, C''_\ell \rangle$  be two solutions in  $\text{SOLSAP}(\mathcal{P}^S)$ . We say that:*

- $\mathcal{H}'$  is structurally-preferred over  $\mathcal{H}''$ , denoted by  $\mathcal{H}' \succ_{\subseteq S} \mathcal{H}''$ , if for  $i = 0, \dots, \ell$  we have  $\mathcal{T} \models C'_i \sqsubseteq C''_i$ ;
- $\mathcal{H}'$  is subsumption-preferred over  $\mathcal{H}''$ , denoted as  $\mathcal{H}' \succ_{\subseteq} \mathcal{H}''$ , if  $\mathcal{T} \models \sigma[\overline{\mathcal{H}}/\mathcal{H}'](\mathcal{C}^h) \sqsubseteq \sigma[\overline{\mathcal{H}}/\mathcal{H}''](\mathcal{C}^h)$ ;

A solution is Structural-maximal if no solution is structurally preferred to it, and Subsumption-maximal if no solution is subsumption-preferred to it.

However, it turns out that subsumption-preference includes structural preference, hence we can safely forget the latter.

**Proposition 1.** *Given a SAP  $\mathcal{P}^S = \langle C, D, \mathcal{T}, \overline{\mathcal{A}} \rangle^S$  and two solutions  $\mathcal{H}'$  and  $\mathcal{H}''$  in  $\text{SOLSAP}(\mathcal{P}^S)$ , if  $\mathcal{H}' \succ_{\subseteq S} \mathcal{H}''$  then  $\mathcal{H}' \succ_{\subseteq} \mathcal{H}''$ .*

*Proof.* Recall that (Def. 3) both  $C$  and  $D$  are in NNF. For this form, substitutions are always monotonic over  $\sqsubseteq$ , that is, if  $C_1 \sqsubseteq C_2$  then for every  $i = 0, \dots, \ell$ ,  $\sigma_i[h_i/C_1](C^h) \sqsubseteq \sigma_i[h_i/C_2](C^h)$ . This is because concept variables appear only in conjunctions, and positively—i.e., inside no negation. Iterating over the list of concept variables, the claim follows.  $\square$  Note that the requirement that  $C$  is in NNF before rewriting in  $C^h$  is fundamental for the maximality criteria. Consider  $C = \neg \exists R.A$ ; without NNF,  $C^h$  would be  $h_0 \sqcap \neg \exists R.(A \sqcap h_1)$ , but due to the fact that  $h_1$  is added as a conjunction inside an odd number of negations, by substituting  $h_1$  with anything different from  $\top$  we are in fact making  $C^h$  more generic, not more specific. So, in the criteria for subsumption-maximal solutions, we should now distinguish between  $h$ 's occurring

<sup>1</sup>A precise procedure to obtain this result would need a global counter, visible by all recursive calls. We skip this technical detail to simplify presentation.

inside an even number of negations (for which the criteria are the same as what we stated) and  $h$ 's occurring inside an odd number of negations (for which the criteria should be reverted: a more generic substitution yields a more specific  $C^h$ ). We preferred to use NNF, so that each concept variable  $h$  occurs inside 0 negations in  $C^h$ , and use uniform maximality criteria.

Recalling Example 2, we observe that neither preference criterion allows us to prefer  $\mathcal{H}$  to  $\mathcal{H}'$ . This is because  $\mathcal{H}$  and  $\mathcal{H}'$  are incomparable under structural preference, while  $\sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h) \equiv \sigma[\overline{\mathcal{H}'}/\mathcal{H}'](C^h)$ . Hence, we need the following, different preference criterion.

**Definition 5.** For an abducible concept  $C^h$ , we introduce the following preorder among variables in  $\overline{\mathcal{H}}:(i)h_0 < h_i$  with  $i \neq 0$ ; (ii)  $h_i < h_p$  if  $h_p$  is in a quantification inside the one of  $h_i$ ; (iii)  $h_i < h_p$  if  $h_p$  is in an existential quantification  $\exists R.(h_p \sqcap \dots)$ ,  $h_i$  is in a universal quantification  $\forall R.(h_i \sqcap \dots)$ , and both quantifications appear in the same quantification (i.e., they are siblings in the syntactic tree).

Now let  $\mathcal{H}' = \langle C'_0, \dots, C'_\ell \rangle$  and  $\mathcal{H}'' = \langle C''_0, \dots, C''_\ell \rangle$  be two solutions in  $\text{SOLSAP}(\mathcal{P}^S)$ . We say that  $\mathcal{H}' \leq \mathcal{H}''$  if  $C'_i \sqsubseteq C''_i$  for some  $i$ , and for all  $p$  such that  $h_i < h_p$ ,  $C'_p = C''_p$ .

**Example 3.** Turning back to Example 2, we remember that  $\mathcal{H}' = \{\top, \text{https}, \top\}$  and  $\mathcal{H}'' = \{\exists \text{howPay.CC} \sqcap \text{https}, \top, \top\}$ . By Definition 5 we see  $\mathcal{H}' \leq \mathcal{H}''$ . Indeed, we have  $\text{https} \sqsubseteq \top$  ( $C'_1 \sqsubseteq C''_1$ ) for  $i = 1$  and  $\top = \top$  ( $C'_2 = C''_2$ ) for  $i > 1$ .  $\triangle$

### III. CALCULUS

Hereafter we present a calculus and algorithms to compute a solution to a structural abduction problems as defined in Section II-A for the expressive Description Logic  $\mathcal{SH}$  [18]. In the following we assume the reader be familiar with tableau calculus [22]. Following [16], we will build a prefixed tableau using two labeling functions (to represent true/false prefixed tableaux) **T**() and **F**() instead of a single labeling one **L**() [18]. **T**() and **F**() map an individual  $n$  to a set of concepts **T**( $n$ ) or **F**( $n$ ) or relate  $n$  to another individual  $m$  via a role  $R$ . More formally, given two individuals  $n$  and  $m$  in a tableau  $\tau$ , the formal semantics of **T**() and **F**() is as follows. We say that an interpretation  $(\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$  satisfies two tableau labels **T**( $n$ ) and **F**( $n$ ) if:

- for every concept  $C \in \mathbf{T}(n)$  and every concept  $D \in \mathbf{F}(n)$ ,  $n^{\mathcal{I}} \in C^{\mathcal{I}}$  and  $n^{\mathcal{I}} \notin D^{\mathcal{I}}$ ;
- for every role  $R \in \mathbf{T}(n, m)$  and for every role  $Q \in \mathbf{F}(n, m)$ ,  $(n^{\mathcal{I}}, m^{\mathcal{I}}) \in R^{\mathcal{I}}$  and  $(n^{\mathcal{I}}, m^{\mathcal{I}}) \notin Q^{\mathcal{I}}$ ;
- an interpretation satisfies a branch  $\mathcal{B}$  of  $\tau$  if it satisfies **T**( $n$ ), **F**( $n$ ), **T**( $n, m$ ) and **F**( $n, m$ ) for every individual  $n$ , and for every pair of individuals  $n, m$  in  $\mathcal{B}$ .

If either  $R \in \mathbf{T}(n, m)$  or  $Q \in \mathbf{F}(n, m)$  we say  $m$  is a successor of  $n$ . If we have a set  $\mathcal{R}$  of role axioms in the form  $R \sqsubseteq S$  or  $\text{Trans}(R)$ , with  $R$  and  $S$  being role names, we denote with  $\sqsubseteq^*$  the reflexive transitive closure of  $\sqsubseteq$  on  $\mathcal{R}$ . Given two individual names  $n$  and  $m$ ,  $m$  is called an  $R$ -successor of  $n$  if, for some  $R'$  with  $R' \sqsubseteq^* R$ ,  $m$  is a successor of  $n$  and either  $R' \in \mathbf{T}(n, m)$  or  $\neg R' \in \mathbf{F}(n, m)$ . Ancestors are defined as usual. If  $n$  is an ancestor of  $m$ , we say  $m$  is blocked by  $n$  if  $\mathbf{T}(m) \subseteq \mathbf{T}(n)$  and  $\mathbf{F}(m) \subseteq \mathbf{F}(n)$ .

In order to compute a solution to a SAP, we will build a prefixed tableau  $\tau$  using the rules in Fig. 1. Since we use two labeling functions, for each classical tableau rule we have we both a **T**) version and its dual **F**) version. The only optimization technique we include here is lazy unfolding [23], [24]. We assume concepts are always simplified in NNF and we use  $\overline{C}$  to represent the NNF of a concept  $C$ . Given a  $\mathcal{SH}$  concept in NNF, whenever we have a role  $Q \in \mathbf{F}(n, m)$ , it is of the form  $\neg R$ . Hence  $Q \in \mathbf{F}(n, m)$  means, in fact,  $(n^{\mathcal{I}}, m^{\mathcal{I}}) \in R^{\mathcal{I}}$  too. Unfolding rules for TBox axioms are presented in Fig. 2. In Section II-A we already discussed the need of having  $C$  in NNF. For what concerns  $D$ , it is just a matter of not doubling the tableaux rules (if  $D$  were not in NNF, we would need, e.g., a rule for  $\sqcap$  and another rule for  $\neg(\dots \sqcap \dots)$ ). We recall here the definition of homogeneous

$\sqcap$ - rules	<b>T</b> )	if $C \sqcap D \in \mathbf{T}(n)$ , then add both $C$ and $D$ to $\mathbf{T}(n)$ .
	<b>F</b> )	if $C \sqcup D \in \mathbf{F}(n)$ , then add both $C$ and $D$ to $\mathbf{F}(n)$ .
$\sqcup$ - rules	<b>T</b> )	if $C \sqcup D \in \mathbf{T}(n)$ , then add either $C$ or $D$ to $\mathbf{T}(n)$ .
	<b>F</b> )	if $C \sqcap D \in \mathbf{F}(n)$ , then add either $C$ or $D$ to $\mathbf{F}(n)$ .
$\exists$ - rules	<b>T</b> )	if $\exists R.C \in \mathbf{T}(n)$ , $n$ is not blocked, and $n$ has no $R$ -successor $m$ with either $C \in \mathbf{T}(m)$ or $\neg C \in \mathbf{F}(m)$ , then pick up a new individual $m = n + 1$ , add $R$ to $\mathbf{T}(n, m)$ , and let $\mathbf{T}(m) := \{C\}$ .
	<b>F</b> )	if $\forall R.C \in \mathbf{F}(n)$ , $n$ is not blocked, and $n$ has no $R$ -successor $m$ with either $C \in \mathbf{T}(m)$ or $\neg C \in \mathbf{F}(m)$ , then pick up a new individual $m = n + 1$ , add $\neg R$ to $\mathbf{F}(n, m)$ , and let $\mathbf{F}(m) := \{C\}$ .
$\forall$ - rules	<b>T</b> )	if $\forall R.C \in \mathbf{T}(n)$ , $n$ is not blocked, and there exists an individual $m$ such that $m$ is an $R$ -successor of $n$ , then add $C$ to $\mathbf{T}(m)$ .
	<b>F</b> )	if $\exists R.C \in \mathbf{F}(n)$ , $n$ is not blocked, and there exists an individual $m$ such that $m$ is an $R$ -successor of $n$ , then add $C$ to $\mathbf{F}(m)$ .
$\forall_+$ - rules	<b>T</b> )	if $\forall R.C \in \mathbf{T}(n)$ , $n$ is not blocked, with $\text{Trans}(R) \in \mathcal{R}$ and: <ul style="list-style-type: none"> <li>• <math>R \sqsubseteq^* S</math>;</li> <li>• there exists an individual <math>m</math> such that <math>m</math> is an <math>S</math>-successor of <math>n</math>;</li> </ul> then add $\forall R.C$ to $\mathbf{T}(m)$ .
	<b>F</b> )	if $\exists R.C \in \mathbf{F}(n)$ , $n$ is not blocked, with $\text{Trans}(R) \in \mathcal{R}$ and: <ul style="list-style-type: none"> <li>• <math>R \sqsubseteq^* S</math>;</li> <li>• there exists an individual <math>m</math> such that <math>m</math> is an <math>S</math>-successor of <math>n</math>;</li> </ul> then add $\exists R.C$ to $\mathbf{F}(m)$ .

Fig. 1. Expansion Rules

$\sqsubseteq$ - rules	<b>T</b> )	if $n$ is an individual such that $A \in \mathbf{T}(n)$ in the branch, and $A \sqsubseteq C \in \mathcal{T}$ , then add $C$ to $\mathbf{T}(n)$ .
	<b>F</b> )	if $n$ is an individual such that $\neg A \in \mathbf{F}(n)$ in the branch, and $A \sqsubseteq C \in \mathcal{T}$ , then add $\overline{C}$ to $\mathbf{F}(n)$ .
$\equiv$ - rules	<b>T</b> )	if $n$ is an individual such that $A \in \mathbf{T}(n)$ in the branch, and $A \equiv C \in \mathcal{T}$ , then add $C$ to $\mathbf{T}(n)$ .
	<b>T</b> )	if $n$ is an individual such that $\neg A \in \mathbf{T}(n)$ in the branch, and $A \equiv C \in \mathcal{T}$ , then add $\overline{C}$ to $\mathbf{T}(n)$ .
	<b>F</b> )	if $n$ is an individual such that $\neg A \in \mathbf{F}(n)$ in the branch, and $A \equiv C \in \mathcal{T}$ , then add $\overline{C}$ to $\mathbf{F}(n)$ .
	<b>F</b> )	if $n$ is an individual such that $A \in \mathbf{F}(n)$ in the branch, and $A \equiv C \in \mathcal{T}$ , then add $\neg A$ to $\mathbf{F}(n)$ .

Fig. 2. Unfolding Rules

and heterogeneous clash as proposed in [16].

**Definition 6 (Clash).** A branch  $\mathcal{B}$  contains a homogeneous clash if it contains one of the following:

- 1) either  $\perp \in \mathbf{T}(n)$  or  $\top \in \mathbf{F}(n)$ , for some individual  $n$ ;
- 2) either  $A, \neg A \in \mathbf{T}(n)$  ( $\mathbf{T}$ -homogeneous) or  $A, \neg A \in \mathbf{F}(n)$  ( $\mathbf{F}$ -homogeneous) for some individual  $n$  and some concept name  $A$ ;

$\mathcal{B}$  contains a heterogeneous clash if it contains one of the following:

- 1)  $\mathbf{T}(n) \cap \mathbf{F}(n)$  contains either  $A$  or  $\neg A$  for some individual  $n$  and some concept name  $A$ ;

We say a branch  $\mathcal{B}$  is *complete* iff for each individual name  $n$  occurring in  $\mathcal{A}$  no new rule application is possible both to  $\mathbf{T}(n)$  and  $\mathbf{F}(n)$ . A complete branch is *open* if it contains no clash, otherwise it is *closed*. A complete tableau is open if it contains at least one open branch, otherwise it is closed. Soundness and completeness of the calculus follow from the version without prefixes [24].

#### IV. ALGORITHM AND SUBSTITUTION CRITERIA

We observe that given a TBox  $\mathcal{T}$  and two concept  $C$  and  $D$ , it results  $\mathcal{T} \models C \sqsubseteq D$  iff the tableau  $\tau$  starting from  $C \in \mathbf{T}(1), D \in \mathbf{F}(1)$  is closed. Moreover, we can say that an heterogeneous clash in  $\tau$  represents in some way an “interaction” between the concepts  $C$  and  $D$ . An heterogeneous clash can be seen a “clue” that the relation  $\mathcal{T} \models C \sqsubseteq D$  might hold. Whenever a complete tableau  $\tau$  has one or more open branches  $\mathcal{B}^j$ , if we want the relation  $\mathcal{T} \models C \sqsubseteq D$  hold, then we have to force the closure of all open branches  $\mathcal{B}^j$  in  $\tau$ .

We observe that if we had a tableau  $\tau'$  starting with  $C \in \mathbf{T}(1)$ , and  $\tau'$  is closed then  $\mathcal{T} \models C \sqsubseteq \perp$ , i.e.,  $C$  is unsatisfiable w.r.t.  $\mathcal{T}$ . Also notice that in this case, since we have only  $\mathbf{T}()$  label, all branches will close with a  $\mathbf{T}$ -homogeneous clash.

**Proposition 2.** *Let  $C, D$  be two concepts in  $\mathcal{SH}$ ,  $\mathcal{T}$  be a TBox in  $\mathcal{SH}$  with  $\mathcal{T} \models C \sqsubseteq \perp$ . A complete tableaux  $\tau$  starting with  $C \in \mathbf{T}(1)$  and  $D \in \mathbf{F}(1)$ , is such that for each branch  $\mathcal{B}$  there is at least one  $\mathbf{T}$ -homogeneous clash.*

*Proof.* If  $\mathcal{T} \models C \sqsubseteq \perp$ , and  $\tau$  is complete, as a direct consequence, it will surely close all its branches with at least one  $\mathbf{T}$ -homogeneous clash.  $\square$

Note that in this case, in order to catch the inconsistency of  $C$ , we need  $\tau$  to be complete.

Given two concepts  $C, D$  and a TBox  $\mathcal{T}$  in  $\mathcal{SH}$ , we call **H-Tableau** a complete tableau built starting from  $C^h \in \mathbf{T}(1)$  and  $D \in \mathbf{F}(1)$ .

**Example 4.** *Suppose to have the following SAP:*

$$\begin{aligned} C &= \exists R.(A_1 \sqcap (A_2 \sqcup \neg A_3)) \\ D &= \exists R.(A_1 \sqcap A_2) \sqcap \exists R.(A_3 \sqcup \neg A_2) \\ \mathcal{T} &= \emptyset \end{aligned}$$

The tableau computed following rules in Fig. 1 and Fig. 2 is depicted in Fig. 3.  $\triangle$

Looking at Fig. 3 we observe that we could close the tableau by substituting the two concept variables  $h_0$  and  $h_1$  with concepts generating a clash in the open branches  $\mathcal{B}^0, \mathcal{B}^1$  and  $\mathcal{B}^2$ . Once the tableau is closed we know that

$\mathcal{T} \models \sigma(C^h) \sqsubseteq D$ . Actually this relation holds even when trivially  $\mathcal{T} \models \sigma(C^h) \sqsubseteq \perp$ . Nevertheless, if we want that  $\sigma$  be a solution to a SAP, by condition (2) of Def. 3, we have to avoid that. By Proposition 2, we know that in order to have  $\mathcal{T} \not\models \sigma(C^h) \sqsubseteq \perp$ , it suffices to have at least one branch closed by a heterogeneous clash. Hence, in order to compute  $\sigma$  we will look for instantiation of variable in the hypotheses list  $\overline{\mathcal{H}}$  of  $C^h$  such that, given a complete open tableau  $\tau$ , there is at least one open branch  $\mathcal{B} \in \tau$  such that  $\sigma(\mathcal{B})$  closes without any homogeneous clash.

**Proposition 3.** *Let  $\mathcal{T}$  be a TBox,  $C^h$  be an abducible concept,  $\overline{\mathcal{H}} = \langle h_0, \dots, h_l \rangle$  be its corresponding hypotheses list and  $\tau$  be an open complete tableau starting from  $C^h \in \mathbf{T}(1), D \in \mathbf{F}(1)$ . For each open branch  $\mathcal{B}^j \in \tau$ , with  $j \in [0, \dots, k]$ , if  $\sigma^j[\overline{\mathcal{H}}/\mathcal{H}^j]$  is a substitution such that  $\sigma^j[\overline{\mathcal{H}}/\mathcal{H}^j](\mathcal{B}^j)$  is closed then*

$$\begin{aligned} \sigma[\overline{\mathcal{H}}/\mathcal{H}] &= \{h_0 \mapsto \sigma_0^0[\overline{\mathcal{H}}/\mathcal{H}^0] \sqcap \dots \sqcap \sigma_0^k[\overline{\mathcal{H}}/\mathcal{H}^k], \dots, \\ &h_l \mapsto \sigma_l^0[\overline{\mathcal{H}}/\mathcal{H}^0] \sqcap \dots \sqcap \sigma_l^k[\overline{\mathcal{H}}/\mathcal{H}^k]\} \end{aligned}$$

is such that  $\mathcal{T} \models \sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h) \sqsubseteq D$ . We call  $\sigma^j[\overline{\mathcal{H}}/\mathcal{H}^j]$  a **branch substitution**.

*Proof.* If  $\sigma_i^j[\overline{\mathcal{H}}/\mathcal{H}^j]$  closes  $\mathcal{B}^j$  then, by  $\sqcap$ -rule **T**) in Fig. 1,  $\mathcal{B}^j$  is closed also by  $\sigma_i^j[\overline{\mathcal{H}}/\mathcal{H}^j] \sqcap C$ , being  $C$  a generic concept. If  $C = \sqcap_{j \neq i} \sigma_i^j[\overline{\mathcal{H}}/\mathcal{H}^j]$  the proposition holds.  $\square$

For the sake of clarity, when no confusion arises, from now on we will write  $\sigma$  to denote  $\sigma[\overline{\mathcal{H}}/\mathcal{H}]$ ,  $\sigma_i$  to denote  $\sigma_i[\overline{\mathcal{H}}/\mathcal{H}]$ ,  $\sigma^j$  to denote  $\sigma^j[\overline{\mathcal{H}}/\mathcal{H}^j]$  and  $\sigma_i^j$  to denote  $\sigma_i^j[\overline{\mathcal{H}}/\mathcal{H}^j]$ .

Algorithm 1 shows how to compute a solution to Structural Abduction Problems using prefixed tableaux.

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#### Algorithm 1: An Algorithm to compute a solution to a SAP

---

**Algorithm:** *abduce*

**Input:**  $\mathcal{ALC}$  concepts  $C, D$ , acyclic TBox  $\mathcal{T}$

**Output:** substitution  $\sigma$

```

1 begin
2    $\sigma := \{H_0 \mapsto \top, \dots, H_n \mapsto \top\}$ ;
3   compute a H-Tableau;
4   if  $\tau$  is not closed then
5     repeat
6        $\Theta := \emptyset$ ;
7       foreach open branch  $\mathcal{B}^j \in \tau$  do
8         find a branch substitution  $\sigma^j$  such that  $\mathcal{B}^j$  is closed with a
           heterogeneous clash;
            $\Theta := \Theta \cup \{\sigma^j\}$ ;
9       end
10      foreach  $\sigma^j \in \Theta$  do
11         $\sigma_i := \sigma_i \sqcap \sigma_i^j$ ;
12      end
13    until  $\mathcal{T} \not\models \sigma(C^h) \sqsubseteq \perp$ ;
14  end
15  return  $\sigma$ ;
16 end

```

---

In Algorithm 1 we propose a greedy procedure to compute a solution to a General SAP  $\langle C, D, \mathcal{T}, \hat{\mathcal{A}} \rangle^S$ . There a practical problem still remains: the computation of the branch substitution  $\sigma^j$  in line 8. There are different strategies to compute  $\sigma^j$  depending on the characteristics we want to be shown by  $\sigma$ . In this section we identify and discuss some properties of  $\sigma$  that can be easily computed (implemented) given a H-Tableau, lead

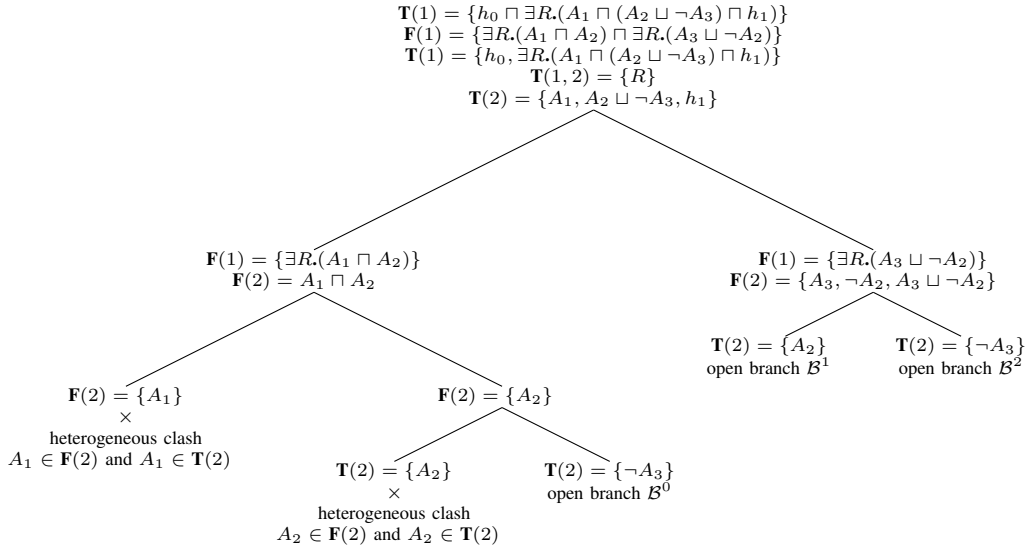


Fig. 3. A H-Tableau computed applying expansion rules in Fig. 1 and Fig. 2.

by information minimal criteria. In other words, we would like to have a practical (from an implementation point of view) procedure able to compute a solution to a SAP that shows some information minimal properties.

Some possible substitutions to close the H-Tableau in Fig. 3 are presented in Table II. Concepts  $C_0$  and  $C_1$  are generic concepts in  $\mathcal{SH}$  (even  $\top$ ) satisfiable w.r.t.  $\mathcal{T}$ . The first practical issue we have to face is: given a H-Tableau, in case we want to solve a General SAP, how could we select concepts in  $\mathcal{SH}$  in order to close open branches? We could perform a syntactic search of such concepts looking at the H-Tableau itself.

**(C0)** For each open branch  $\mathcal{B}^j$  in a H-Tableau we select all the sets labeled with  $\mathbf{F}(n)$ . If there is a concept variable  $h_i \in \mathbf{T}(n)$ , we could just pick up a concept  $C \in \mathbf{F}(n)$  and perform the substitution  $\sigma_i^j = \{h_i \mapsto C\}$ . It is easy to see that  $\sigma_i^j(\mathcal{B}^j)$  closes with at least one heterogeneous clash.

**(C1)** For each branch substitution, in order to close a branch it suffices to have at least one variable whose value is different from  $\top$ <sup>2</sup>.

Note that, depending on the substitution we choose, we have different solutions for the same SAP. Suppose we select the substitution  $\sigma^1 = \{h_0 \mapsto \top, h_1 \mapsto A_3\}$  for  $\mathcal{B}^1$  in Fig. 3. It is easy to see that  $\sigma^1$  closes both  $\mathcal{B}^1$  with a heterogeneous clash and  $\mathcal{B}^2$  with a homogeneous clash. Hence, in this case we do not need to compute a substitution  $\sigma^2$  to close  $\mathcal{B}^2$ . Since we are looking for information minimal hypotheses, with respect to solutions in Table II we observe that in case we are willing to compute, for each variable, *conjunction minimal* substitutions:

**(C2)** both  $C_0$  and  $C_1$  should be equal to  $\top$ ;  
**(C3)** we should avoid variable substitution in conjunctive form. In fact, if a conjunctive substitution  $h_i \mapsto D_1 \sqcap D_2$  closes a branch  $\mathcal{B}$  then by  $\sqcap$ -rule **T**) in Fig. 1 either  $h_i \mapsto D_1$  or  $h_i \mapsto D_2$  closes  $\mathcal{B}$ ;

**(C4)** when computing a branch substitution for an open branch, we have to take into account also the substitutions

1.	$\sigma^0$	$\{h_0 \mapsto \forall R.A_2 \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
2.		$\{h_0 \mapsto \forall R.(A_2 \sqcap A_1) \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
3.		$\{h_0 \mapsto \exists R.(A_1 \sqcap A_2) \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
4.		$\{h_0 \mapsto \top \sqcap C_0, h_1 \mapsto A_2 \sqcap C_1\}$
5.		$\{h_0 \mapsto \top \sqcap C_0, h_1 \mapsto A_1 \sqcap A_2 \sqcap C_1\}$
6.		$\{h_0 \mapsto \forall R.A_2 \sqcap C_0, h_1 \mapsto A_2 \sqcap C_1\}$
7.		$\{h_0 \mapsto \forall R.A_2 \sqcap C_0, h_1 \mapsto A_1 \sqcap A_2 \sqcap C_1\}$
...		...
8.	$\sigma^1$	$\{h_0 \mapsto \forall R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
9.		$\{h_0 \mapsto \forall R.A_3 \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
10.		$\{h_0 \mapsto \exists R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
11.		$\{h_0 \mapsto \top \sqcap C_0, h_1 \mapsto A_3 \sqcap C_1\}$
12.		$\{h_0 \mapsto \forall R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto A_3 \sqcap C_1\}$
13.		$\{h_0 \mapsto \forall R.A_3 \sqcap C_0, h_1 \mapsto A_3 \sqcap C_1\}$
14.		$\{h_0 \mapsto \exists R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto A_3 \sqcap C_1\}$
...		...
15.	$\sigma^2$	$\{h_0 \mapsto \forall R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
16.		$\{h_0 \mapsto \forall R.\neg A_2 \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
17.		$\{h_0 \mapsto \exists R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto \top \sqcap C_1\}$
18.		$\{h_0 \mapsto \top \sqcap C_0, h_1 \mapsto \neg A_2 \sqcap C_1\}$
19.		$\{h_0 \mapsto \forall R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto \neg A_2 \sqcap C_1\}$
20.		$\{h_0 \mapsto \forall R.\neg A_2 \sqcap C_0, h_1 \mapsto \neg A_2 \sqcap C_1\}$
21.		$\{h_0 \mapsto \exists R.(A_3 \sqcup \neg A_2) \sqcap C_0, h_1 \mapsto \neg A_2 \sqcap C_1\}$
...		...

TABLE II  
POSSIBLE SUBSTITUTIONS TO CLOSE THE H-TABLEAU IN FIGURE 3

of the other open branches. With respect Table II, if we consider  $\sigma^0$  in row 1 and  $\sigma^1$  in row 8 and  $\sigma^2$  in row 17 we see that there are three different substitutions for the same variable  $h_0$ . By Proposition 3 we know that the conjunction  $\forall R.A_2 \sqcap \forall R.(A_3 \sqcup \neg A_2) \sqcap \exists R.(A_3 \sqcup \neg A_2)$  is a substitution for  $h_0$  closing  $\mathcal{B}^0$ ,  $\mathcal{B}^1$  and  $\mathcal{B}^2$ . Hence, if a variable substitution closes more than one open branch, *i.e.*, the same variable substitution appears in more than one branch substitution, it should be preferred over the others. This is the case, for instance, of  $h_0 \mapsto \forall R.(A_3 \sqcup \neg A_2)$  for  $\sigma^1$  and  $\sigma^2$ ;

Going back to Condition (C0) and Condition (C1), given a H-Tableau, in case there is more than one individual  $n$  such that  $h_i \in \mathbf{T}(n)$ , how to choose the individual? What is the best candidate? Moreover, once we choose an individual  $n$ , which concept in  $\mathbf{F}(n)$  should we pick-up? **(C5)** With respect to Example 4 and solutions in Table II, if we consider either

<sup>2</sup>Branch substitutions with all the variables in  $\mathcal{H}$  but one equal to  $\top$  tend to generate structural maximal solutions.

$\sigma^0$  in row 3 or  $\sigma^0$  in row 4 and  $\sigma^1$  in row 11 we obtain<sup>3</sup>:

$$\sigma[\overline{\mathcal{H}}/\mathcal{H}'] = \{h_0 \mapsto \top, h_1 \mapsto A_2 \sqcap A_3\} \quad (4)$$

$$\sigma[\overline{\mathcal{H}}/\mathcal{H}'] = \{h_0 \mapsto \exists R.(A_1 \sqcap A_2), h_1 \mapsto A_3\} \quad (5)$$

Note that both  $\mathcal{H}'$  and  $\mathcal{H}''$  are computed satisfying all the above conjunction minimal conditions. Nevertheless, we see that solutions (4) is more “fine-grained” than solution (5). Also notice that  $\sigma[\overline{\mathcal{H}}/\mathcal{H}'](C^h) \sqsubseteq \sigma[\overline{\mathcal{H}}/\mathcal{H}''](C^h)$ . In other words, solutions (4) is subsumption-maximal w.r.t. solution (5). With respect to Definition 5 it results  $\mathcal{H}' \leq \mathcal{H}''$ . Hence, when closing an open branch  $\mathcal{B}$  to compute a subsumption maximal solution we tend to select variable  $h_i \in \mathbf{T}(n)$  such that there is no  $h_p \in \mathbf{T}(m)$  with  $h_i < h_p$ .

In Algorithm 2 we propose a procedure to compute a branch substitution  $\sigma^j$  taking into account (C0), (C1), (C2), (C3), (C4) and (C5). Moreover, from Proposition 2 we know that if there is at least one branch  $\mathcal{B}^j$  in a H-Tableau such that  $\mathcal{B}^j$  does not contain any **T**-homogeneous clash, then  $\mathcal{T} \not\sqsubseteq \sigma(C^h) \sqsubseteq \perp$ . In order to catch this situation as soon as possible, while computing  $\sigma^j$  we should avoid, if possible, to close  $\mathcal{B}^j$  generating also a **T**-homogeneous clash.<sup>4</sup>

- In line 1 of Algorithm 2 we take into account Condition (C4). Indeed, given  $\mathcal{B}^j$  we try to reuse a substitutions already computed for previous branches  $\mathcal{B}^{j-k}$ .
- Line 4 formalizes conditions (C0) and (C5). We select an individual  $n$  such that both  $\mathbf{T}(n)$  contains  $h_i$  and there is no other  $h$ -variable  $h_p$  such that it is “bigger” than  $h_i$ .
- Since we selected  $n$  such that  $\mathbf{F}(n) \neq \emptyset$ , we can choose a concept in  $\mathbf{F}(n)$  to close  $\mathcal{B}^j$ . Furthermore, while closing  $\mathcal{B}^j$  we try to avoid heterogeneous clashes in order to discard inconsistent substitutions (see Proposition 2).

---

**Algorithm 2:** Computation of a branch substitution for information minimal solutions.

---

```

1 if  $h_i \in \mathbf{T}(n)$  and there exists a substitution  $\sigma_i^{j-k}$ , with  $k = 1, \dots, j$  such that
 $\sigma_i^j := \sigma_i^{j-k}$  closes  $\mathcal{B}^j$  then
2    $\sigma_i^j := \sigma_i^{j-k}$ ;
3 else
4   select an individual  $n$  such that  $\mathbf{T}(n) \neq \emptyset, \mathbf{F}(n) \neq \emptyset$  and there exists no
   other individual  $m$  such that both  $\mathbf{T}(m) \neq \emptyset, \mathbf{F}(m) \neq \emptyset$  and  $h_i < h_p$ 
   with  $h_i \in \mathbf{T}(n)$  and  $h_p \in \mathbf{T}(m)$ ;
5   choose a concept  $E \in \mathbf{F}(n)$  such that  $E$  does not contain a conjunction and,
   if possible,  $\mathcal{B}^j \cup \{E \in \mathbf{T}(n)\}$  does not close with a T-homogeneous clash;
6    $\sigma_i^j := E$ ;
7 end
8 foreach  $h_k \in \overline{\mathcal{H}}$  with  $k \neq i$  do
9    $\sigma_k^j := \top$ ;
10 end
```

---

It is noteworthy that the algorithms we presented in this section compute a sub-optimal solution due to their greedy nature. In fact, we have no guarantee that Algorithm 2 finds a substitution such that  $\sigma[\overline{\mathcal{H}}/\mathcal{H}](\tau)$  have at least one branch closed by no **T**-homogeneous clash. However, the algorithms we illustrated in this section are very useful to understand the issues related to the computation of a solution to a SAP.

<sup>3</sup>We remember that in this case it suffices to have  $h_1 \mapsto A_3$  in  $\sigma^1$  to close both  $\mathcal{B}^1$  and  $\mathcal{B}^2$ .

<sup>4</sup>As a general remark we should minimize **T**-homogeneous clashes as much as possible in order to avoid trivial solutions.

**Dealing with RBoxes:** It is easy to see that Algorithm 2 works nicely when  $\mathcal{R} = \emptyset$ . Let us take a look at what happens when we have to deal with a non-empty RBox.

**Example 5.** Suppose we have to solve the following SAP.

$$\begin{aligned} C &= \forall R.(A_1 \sqcap \exists S.A_2) \\ D &= \forall T.\exists S.A_3 \\ \mathcal{T} &= \emptyset \\ \mathcal{R} &= \{Trans(R), S \sqsubseteq R, T \sqsubseteq R\} \end{aligned}$$

Part of the tableau we compute following rules in Fig. 1 is represented in Fig. 4.

$$\begin{aligned} \mathbf{T}(1) &= \{h_0 \sqcap \forall R.(h_1 \sqcap A_1 \sqcap \exists S.(h_2 \sqcap A_2))\} \\ \mathbf{F}(1) &= \{\forall T.\exists S.A_3\} \\ \mathbf{T}(1) &= \{h_0, \forall R.(h_1 \sqcap A_1 \sqcap \exists S.(h_2 \sqcap A_2))\} \\ &\quad \downarrow \\ \mathbf{F}(1, 2) &= \{\neg T\} \\ \mathbf{F}(2) &= \{\exists S.A_3\} \\ \mathbf{T}(2) &= \{h_1, A_1, \exists S.(h_2 \sqcap A_2), \forall R.(h_1 \sqcap A_1 \sqcap \exists S.(h_2 \sqcap A_2))\} \\ &\quad \downarrow \\ \mathbf{T}(2, 3) &= \{S\} \\ \mathbf{T}(3) &= h_2, A_2, h_1, A_1, \exists S.(h_2 \sqcap A_2), \forall R.(h_1 \sqcap A_1 \sqcap \exists S.(h_2 \sqcap A_2)) \\ \mathbf{F}(3) &= A_3 \\ &\quad \dots \dots \end{aligned}$$

Fig. 4. Part of the tableau computed for Example 5

Looking at Example 5 we see that, due to  $\mathcal{R}$ , both  $h_1$  and  $h_2$  appears in  $\mathbf{T}(3)$ . Hence, following Algorithm 2 we can close the branch with one of the following two substitutions:

$$\sigma[\overline{\mathcal{H}}/\mathcal{H}'] = \{h_0 \mapsto \top, h_1 \mapsto \top, h_2 \mapsto A_3\}$$

$$\sigma[\overline{\mathcal{H}}/\mathcal{H}'] = \{h_0 \mapsto \top, h_1 \mapsto A_3, h_2 \mapsto \top\}$$

Due to  $Trans(R)$ , we have  $\sigma[\overline{\mathcal{H}}/\mathcal{H}''](C^h) \sqsubseteq \sigma[\overline{\mathcal{H}}/\mathcal{H}'](C^h)$ . Similarly to what we do in (C4), if we are looking for subsumption-maximal solutions we will prefer  $\mathcal{H}'$  over  $\mathcal{H}''$ . On the other hand we see that  $\mathcal{H}' \leq \mathcal{H}''$ . Hence, (C4) holds also in presence of a non-empty RBox.

## V. STRUCTURAL ABDUCTION FOR MATCH RANKING

Although undoubtedly useful for match explanations, solutions to structural abduction problems can also be used to formulate a scoring function to rank matchmaking results. Here we present two simple functions just to provide some hints on this topic. The first one is based exclusively on the structure of formulas; the second one involves also some basic user preferences.

**$\top$ -less Ranking Function.** If we consider a solution to a SAP, it represents how many “pieces” of the formula representing  $D$  have to be hypothesized in  $C$ . If we look at the substitution representing a solution, the best case is when all the variables in the hypotheses set  $\overline{\mathcal{H}}$  are substituted with the most generic concept  $\top$ . This solution represents the case when  $\mathcal{T} \models C \sqsubseteq D$  and then no hypothesis has to be formulated. Then, the greater the number of unifications such that  $\sigma_i[\overline{\mathcal{H}}/\mathcal{H}] \neq \top$  the worse the solution. Given a solution  $\sigma[\overline{\mathcal{H}}/\mathcal{H}]$  to a SAP we can define a ranking function based on the number of concepts  $C_i \in \mathcal{H}$  such that  $C_i \neq \top$ .

$$rank^\top(\sigma[\overline{\mathcal{H}}/\mathcal{H}]) = |\{C_i \mid C_i \in \mathcal{H} \text{ and } C_i \neq \top\}|$$

The best solution is represented by  $rank^\top(\sigma[\overline{\mathcal{H}}/\mathcal{H}]) = 0$ .

**Preference-Based Ranking Function.** In a matchmaking process a user request can be split often into two separate parts: **strict requirements** and **preferences**. Strict requirements represent what, in the request, has to be strictly matched by the retrieved resource description. Preferences can be seen as soft user requirements. In other words, the user will accept

even a resource description not completely matching her requirements. Usually, a weight is associated to each preference in order to represent its worth (absolute or relative to the other preferences). Hence, for a user request we distinguish between a concept  $D_S$  representing strict requirements and a set of weighted formulas  $\mathcal{P} = \{\langle D_i, v_i \rangle\}$  [25] where  $D_i$  is a DL concept and  $v_i$  is a numerical value representing preference worth. It should be clear that a matchmaking process has not to be performed w.r.t.  $D_S$ . It represents what the user is not willing to risk on at all. He does not want to hypothesize nothing on it. An approximate solution would not be significant for  $D_S$ . It represents an initial retrieval filter. Given a resource description  $C$ , if  $\mathcal{T} \models C \sqsubseteq D_S$  then the matchmaking process starts. Matchmaking preferences w.r.t. a semantic resource description  $C$  makes more sense. After all, preferences represent what the user *would like* to be satisfied by  $C$ . Hence, even though a preference is satisfied *with a certain degree* (not necessarily completely) the user will be satisfied *with a certain degree* as well. Hence, for each preference we compute  $\sigma[\overline{\mathcal{H}}/\mathcal{H}_i]$  as a solution to the corresponding SAP  $\langle C, D_i, \mathcal{T}, \mathcal{ALC} \rangle^S$ . Based on this setting we can define a simple additive utility function such that for each preference  $\langle D_i, v_i \rangle$  it takes into account both  $v_i$  and “how much”  $D_i$  is satisfied by  $C$ .

$$u(\mathcal{P}, C) = \sum_i v_i \cdot \frac{1}{1 + \text{rank}^\top(\sigma[\overline{\mathcal{H}}/\mathcal{H}_i])}$$

## VI. FINAL REMARKS AND CONCLUSIONS

First of all, we clarify the relation between “classical” Propositional Abduction (PA) and our proposal. We claim that ours is a proper extension of PA. In fact, given a theory  $\mathcal{T}$ , some observations  $O$  (both propositional formulas) and a set of possible assumptions  $\mathcal{A} = \{a_1, \dots, a_n\}$  (atoms), PA is the problem of finding a subset  $S \subseteq \mathcal{A}$  such that  $T \wedge S$  is consistent, and  $\mathcal{T} \wedge S \models O$ . Then, PA can be translated into SAP by (i) letting  $C = \top$  (hence  $C^h = \top \sqcap H_0 \equiv H_0$ ), (ii) expressing  $\mathcal{T}$  and  $O$  as  $\mathcal{ALC}$  concepts—just replace  $\wedge, \vee$  with  $\sqcap, \sqcup$ —and (iii) solving the SAP  $\mathcal{T} \models H_0 \sqsubseteq O$ . Since in propositional Logic the Deductive Theorem holds, one could also let  $C = \mathcal{T}$ ,  $\mathcal{T} = \emptyset$ , and solve the SAP  $\mathcal{T} \sqcap H_0 \sqsubseteq O$ . In both cases only substitutions involving atoms in  $\mathcal{A}$  should be considered. Hence, SAP properly extends PA.

Given that  $\mathcal{ALC}$  is a syntactic variant of the Modal Logic  $\mathbf{K}_n$ , and that (the Modal Logic version of) transitive roles is present in the Modal Logic  $\mathbf{S4}$ , the work most similar to ours is Cialdea & Pirri’s [20], who studied Abduction for several Modal Logics. Compared to ours, Cialdea & Pirri did not have our known facts  $C$ , which are necessary for the Electronic Commerce application (they model the already declared characteristics of an offer). Moreover, Cialdea & Pirri looked for formulas  $\alpha$  such that  $\mathcal{T} \cup \{\alpha\} \models O$ , that in our setting could be rephrased as Concept Abduction of Section II.1, or alternatively, as a SAP having on  $H_0$  as variable to be substituted. Finally, they just looked for subsumption-maximal abductions on  $H_0$ , while our approach allows us to find several abductions depending on the preference criterion for the various  $H_i$ ’s. On the other hand, every solution we find

can be turned into a solution in Cialdea & Pirri’s framework: in fact, given a solution  $\sigma[\overline{\mathcal{H}}/\mathcal{H}]$ , since  $\mathcal{T} \models \sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h) \sqsubseteq D$ , one can always let  $\alpha = \sigma[\overline{\mathcal{H}}/\mathcal{H}](C^h)$  as a solution.

Currently, we are performing tests based on different substitution strategies using ITACA (Implementation of Tableaux Algorithm for Contraction and Abduction), a novel DL reasoner based on prefixed tableaux. Tests aim both at evaluating practical computational load when computing solutions to SAPs and users’ feedback on computed explanations. An analysis of computational complexity is also under way.

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